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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of: **Toshio NARITA et al.**

Group Art Unit: 1742

Serial No.: **10/502,027**

Filed: **April 4, 2005**

Confirmation No.: **5088**

For: **METHOD FOR FORMING Re-Cr ALLOY FILM THROUGH  
ELECTROPLATING PROCESS USING BATH CONTAINING Cr(VI)**

Attorney Docket Number: **042395**

Customer Number: **38834**

**REQUEST FOR CORRECTED FILING RECEIPT**

**Mail Stop: Missing Parts**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Date: June 1, 2005

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

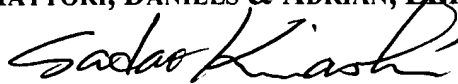
In reviewing the Official Filing Receipt, we noted the Assignee's Information was not recorded. The Assignee's Information should read --**JAPAN SCIENCE AND TECHNOLOGY AGENCY, Kawaguchi-shi, JAPAN; EBARA CORPORATION, Tokyo, JAPAN; SAPPORO ELECTROPLATING INDUSTRIAL CO., LTD., Sapporo-shi, JAPAN**--. A copy of **Transmittal Letter** is enclosed which indicates the correct information. We are also enclosing a copy of the filing receipt with the corrections highlighted.

Request for Corrected Filing Receipt  
10/502,027  
042395

In the event any fees are required in connection with this paper, please charge Deposit  
Account No. 50-2866.

Respectfully submitted,

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

A handwritten signature in black ink, appearing to read "Sadao Kinashi", written in a cursive style.

Sadao Kinashi

Attorney for Applicants

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Enclosures: Official Filing Receipt; Transmittal Letter



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/502,027	04/04/2005	1742	1050	042395	1	3	1

38834  
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CONFIRMATION NO. 5088  
CORRECTED FILING RECEIPT  
\*OC000000015875983\*  
\*OC000000015875983\*

Date Mailed: 04/26/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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\* Please Insert  
Assignment for Published Patent Application

Power of Attorney: The patent practitioners associated with Customer Number 38834.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/00355 01/17/2003

## Foreign Applications

JAPAN 2002-10752 01/18/2002

If Required, Foreign Filing License Granted: 04/26/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/502,027**

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

**Title**

Method for forming Re-Cr alloy film through electroplating process using bath containing Cr(VI)

**Preliminary Class**

420

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

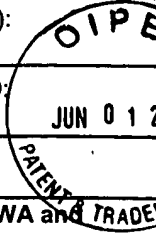
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**NOT GRANTED**

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U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <b>July 19, 2004</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLN. NO. (if known):
INTERNATIONAL APPLICATION NO.: <b>PCT/JP03/00355</b>	INTERNATIONAL FILING DATE: <b>JANUARY 17, 2003</b>	PRIORITY DATE CLAIMED: <b>JANUARY 18, 2002</b>
TITLE OF INVENTION: <b>METHOD FOR FORMING Re-Cr ALLOY FILM THROUGH ELECTROPLATING PROCESS USING BATH CONTAINING Cr (IV)</b>		
APPLICANT(S) FOR DO/EO/US: <b>Toshio NARITA, Shigenari HAYASHI, Takayuki YOSHIOKA, Hiroshi YAKUWA and Michiaki SOUMA</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <u><b>XX</b></u> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <u>    </u> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <u><b>XX</b></u> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (17) indicated below.</p> <p>4. <u><b>XX</b></u> The US has been elected (Article 31).</p> <p>5. <u><b>XX</b></u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <u>    </u> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <u><b>XX</b></u> has been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <u>    </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <u><b>XX</b></u> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 40px;">a. <u><b>XX</b></u> is attached hereto.</p> <p style="margin-left: 40px;">b. <u>    </u> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <u><b>XX</b></u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <u>    </u> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <u>    </u> have been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <u>    </u> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <u><b>XX</b></u> have not been made and will not be made.</p> <p>8. <u>    </u> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <u>    </u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <u>    </u> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
<p>11. <u><b>XX</b></u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO-1449 and 4 references.</p> <p>12. <u>    </u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEES NAMES AND ADDRESSES: <b>(1) JAPAN SCIENCE AND TECHNOLOGY AGENCY, Kawaguchi-shi, (2) EBARA CORPORATION, Tokyo, Japan; and (3) SAPPORO ELECTROPLATING INDUSTRIAL CO., LTD., Sapporo-shi, Japan</b> Please publish the assignee data with the application.</p> <p>13. <u><b>XX</b></u> <b>FIRST</b> preliminary amendment.</p> <p>14. <u>    </u> A substitute specification.</p> <p>15. <u>    </u> A change of power of attorney and/or address letter.</p> <p>16. <u><b>XX</b></u> Other items or information: 1 sheet of drawings.</p>		